



2600

MP  
PATENT  
83378.0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kenji YAMAZAKI et al.

Serial No: 09/995,126<sup>2446</sup>

Filed: November 26, 2001

For: A TUBE UNIT AND A BLOOD PUMP SYSTEM

Art Unit: Not assigned 2634

Examiner: Not assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION**

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

APR 11 2002

Dear Sir:

Technology Center 2600

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated January 28, 2002, response to which is due March 28, 2002, enclosed are the following:

- ☒ A copy of the "Notice to File Missing Parts of Application Filing Date Granted."
- ☒ A "Declaration and Power of Attorney" executed by the inventor(s).
- ☒ An English language specification and Formal Drawings
- ☒ A check in the amount of \$130.00 to cover the surcharge for late filing of the English language specification.
- ☒ A Preliminary Amendment.
- ☒ A check in the amount of \$740.00 in payment of the filing fee.
- ☒ A check in the amount of \$130.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,  
Date: March 19, 2002

By: 

Anthony J. Orler  
Registration No. 41,232  
Attorney for Applicant(s)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington D.C. 20231, on

March 19, 2002

Date of Deposit

Gary Chernyavsky

Name

Signature

3/19/02  
Date

HOGAN & HARTSON L.L.P.  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Phone: 213-337-6700  
Fax: 213-337-6701



MAR 29 2002

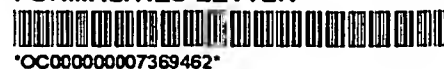
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/995,246         | 11/26/2001          | Kenji Yamazaki        | 83378.0001             |

CONFIRMATION NO. 1370

## FORMALITIES LETTER



\*OC00000007369462\*

000026021  
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500 S. GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071-2611

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APR 11 2002

Technology Center 2600

Date Mailed: 01/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 1000.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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|           |           |
|-----------|-----------|
| 01 FC:101 | 740.00 OP |
| 02 FC:105 | 130.00 OP |
| 03 FC:139 | 130.00 OP |

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*A copy of this notice MUST be returned with the reply.*

*M. Dechet*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE